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# UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. JBP0510

First Named Inventor or Application Identifier  
Miri Seiberg, et al.

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09/621565  
07/21/00

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- 1.  Fee Transmittal Form (attached hereto in duplicate)
- 2.  Specification [Total Pages 45]  
(Preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- 3.  Drawing(s) (35 USC 113) [Total Sheets 18]
- 4. Oath or Declaration
  - a.  Newly executed (original or copy)
  - b.  Unexecuted original
  - c.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional check boxes 5 and 16)
    - i.  Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5.  Incorporation by Reference  
(useable if Box 4c is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4c, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6.  Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

- 8.  Assignment Papers (cover sheet & document(s))
- 9.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney
- 10.  English Translation Document (if applicable)
- 11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- 12.  Preliminary Amendment
- 13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 15.  Other:

- 16.  If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
Amend the specification by inserting before the first line: -- This is a  Continuation  Divisional  
 Continuation-in-Part (CIP) of prior application No.: , filed . --
- 17. For this divisional application, please cancel original Claims of the prior application before calculating the filing fee.

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DATE	July 21, 2000	



REDUCING HAIR GROWTH, HAIR FOLLICLE AND HAIR SHAFT SIZE  
AND HAIR PIGMENTATION

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Miller

Field of the Invention

This invention is related to methods and compositions effective for reducing hair growth. More specifically, the present invention is directed to methods for changing the rate of hair growth, reducing the size of the hair follicle and the hair shaft, and reducing hair shaft pigmentation, by topical application of either botanical extracts containing serine protease inhibitory activity and in particular soybean extracts such as soymilk, or mixtures and formulations of the above, combined with other active ingredients such as isoflavones.

Background of the Invention

One main function of mammalian hair is to provide environmental protection. However, that function has largely been lost in humans, in whom hair is kept or removed essentially for social and cosmetic purposes.

Many procedures are used to remove unwanted hair including shaving, electrolysis, plucking, laser and light therapies and injection of therapeutic antiandrogens. These conventional methods are not without their shortcomings. Shaving, for instance, may result in nicks and cuts in the skin's surface, may leave a perception of an increase in the rate of hair

regrowth, and may also leave undesirable stubble. While electrolysis may keep an area free of unwanted hair for a prolonged period of time, the process is often expensive and painful and may further result in scarring. Not only may plucking cause pain and discomfort, but it often result in a poor removal of short hair. Several unwanted side effects, such as effects on muscularity, often accompany the use of antiandrogens. For these reasons, better methods for reducing hair growth are needed.

Pseudofolliculitis barbae is an inflammatory hair disorder, most commonly found on the beard area. Inflammatory follicular papules result when hair tips penetrate into the skin rather than passing through the follicular orifice. This process is extremely common in black men because their hairs are frequently curly, exiting the skin at an acute angle. Close shaves, particularly with a razor blade, predispose them to pseudofolliculitis barbae. The most effective treatment available is to allow the hairs to grow well beyond the skin surface. Such a treatment is often not desired.

Hirsutism is a relatively frequent condition affecting about 4% of women. Facial hirsutism often interferes with personal and work activities, and temporary hair removal is a major component in the management of hirsute patients. Shaving is the most

frequently used temporary method for facial hair, as plucking, waxing and depilatories are more difficult to tolerate and care must be taken to avoid folliculitis, pigmentation, and scarring. Cosmetic cover-ups are usually used to hide cuts and stubble and electrolysis and thermolysis may be used for permanent hair removal when affordable.

An alternative or complementary desired approach to hair removal, would be a method to reduce hair growth, reduce hair follicle and hair shaft size and reduce hair shaft pigmentation. Such an approach could reduce the visibility of existing hair, making it softer and lighter. When combined with other methods of hair removal such a method could enhance and prolong the removal effect, and reduce the need and frequency of hair removal. Long term use of such an approach could lead to attenuated, soft, pigmentation-reduced hair growth, that is less visible and does not require the use of other removal methods.

Reduced hair growth is desired in the axilla area (fossa axillaris), where deodorants and anti-perspirants are used to control odor trapped within the axillary hairs. It would be desired to have products for under-arm use, which combine deodorant or anti-perspirant activities with reduced hair growth activity.

African type hair is unique in its morphology -- a kinky hair shaft with variations in diameter. This complex shaft structure creates the need for specialized grooming products and procedures to ensure that the African type hair maintains cosmetic desired properties.

It is desired to have products that reduce this complexity and make the African type hair more manageable, improving its appearance.

It would be desirable to provide a method for chemically or naturally affecting hair growth, hair follicle and hair shaft size and hair shaft pigmentation, which does not cause unwanted side effects to the user.

#### Summary of the Invention

In accordance with the present invention, we have found compositions and methods for affecting changes in mammalian hair growth, hair follicle and hair shaft size and hair pigmentation by topically applying to the skin of a mammal an effective amount of a topically active composition comprising protease inhibitors, botanical extracts, and in particular legume extracts including, but not limited to, soymilk, for a period of time sufficient to affect hair growth, hair follicle and hair shaft size and hair shaft pigmentation. Such topically active compositions may be further combined with other active ingredients

including, but not limited to, synthetic or naturally occurring isoflavones, to enhance the desired effects on hair growth and pigmentation.

5           The compositions and methods of this invention provide a unique, convenient means for delaying hair growth, reducing hair follicle and hair shaft size and hair shaft pigmentation, by using serine protease inhibitors, botanical extracts of the legume family, and in particular, but not limited to, soymilk, containing serine protease inhibitory activity, and their combinations with isoflavones.

#### Brief Description of the Drawings

10           The file of this patent contains at least one drawing executed in color. Copies of this patent with color drawing(s) will be provided by the Patent and Trademark Office upon request and payment of the necessary fee.

15           The invention will be more fully understood and further advantages will become apparent when reference is made to the following detailed description of the invention and the accompanying drawings in which:

20           Figure 1: A photograph of control and soymilk treated C57Bl/6 mouse hair (high magnification).

Figure 2: Histological sections of control and soymilk-treated C57Bl/6 mouse hair follicles at day four of the hair cycle.

5 Figure 3: Histological sections of control and soymilk-treated C57Bl/6 mouse hair follicles at day seven of the hair cycle, high and low magnifications.

10 Figure 4: Histological sections of control and soymilk-treated C57Bl/6 mouse hair follicles at day 18 of the hair cycle.

15 Figure 5: Histological sections of control and soymilk-treated C57Bl/6 mouse hair follicles at day 21 of the hair cycle.

20 Figure 6: Histological sections of control, soymilk, and soymilk-derived proteins-treated C3H mouse hair follicles (High magnification) at day seven of the hair cycle.

25 Figure 7: Histological sections of control, soymilk, and soymilk-derived proteins-treated C3H mouse hair follicles (lower magnifications) at day seven of the hair cycle.



Figure 8: Histological sections of control and soymilk-treated C3H mouse hair follicles at day 21 of the hair cycle.

5 Figure 9: A photograph of control and soymilk-derived proteins treated C3H mouse hair (high magnification).

10 Figure 10: Histological sections of control and soymilk-derived proteins-treated C57Bl/6 mouse hair follicles (High magnification) at day eight of the hair cycle.

Figure 11: A graph demonstrating the trypsin inhibitory activity of soymilk.

15 Figure 12: Western blot of C57Bl/6 mouse skins throughout the hair cycle, demonstrating reduced tyrosinase and TRP-1 protein levels following soymilk treatment.

20 Figure 13: Photographs of untreated and soymilk treated sides of human face, treated with soymilk daily for four weeks.

25 Figure 14: Quantitative analysis of hair follicle dimensions with and without soymilk treatment.

Figure 15: Photographs of human leg hair following five weeks of soymilk treatment on one leg.

Figure 16: Photographs of control, soymilk, and isoflavone-enriched soymilk treated C57Bl/6 mouse hair (high magnification).

5 Figure 17: Histological sections of control, soymilk, and isoflavone-enriched soymilk treated C57Bl/6 mouse skins at day 15 of the hair cycle, documenting the thickness and color of the hair shafts.

10 Figure 18: Photograph of C57Bl/6 mouse hair after three weeks of treatment with various soymilk and isoflavones formulations.

15 Detailed Description of Preferred Embodiments

As used herein, "mammal" shall mean any member "of the higher vertebrate animals comprising the class Mammalia," as defined in Webster's Medical Desk Dictionary 407 (1986), and includes but is not limited to humans. As used herein "(%, w/v)" shall mean grams of a given component per 100 ml of the total composition.

20  
25 Topically active agents suitable for use in the composition of the present invention include protease inhibitors and natural plant extracts having protease inhibitory activity and mixtures thereof. Preferred protease inhibitors are serine protease inhibitors, and

in particular Soybean Trypsin Inhibitor ("STI") and the soybean-derived Bowman Birk Inhibitor ("BBI). Preferred botanical extracts are of the legume family and in particular bean extracts, such as soymilk. Preferably, the protease inhibitors are present in an amount, based upon the total volume of the composition of the present invention, of from about 0.0001% (w/v) to about 20% (w/v), and more preferably from about 0.001% (w/v) to about 5% (w/v). Preferably, botanical aqueous extracts such as soymilk are present in an amount of 10-99% (v/v), and more preferably from 50-99% (v/v).

We have unexpectedly found that when topically active agents such as described above, and in particular soymilk or soymilk containing formulations, are enriched with isoflavones, and in particular soybean-derived isoflavones, the inhibitory effect on hair growth, hair dimensions and hair pigmentation is enhanced. Preferably, the isoflavones are present in the botanical aqueous extracts such as soymilk in an amount of 0.000005-15% (v/v), and more preferably from 0.00001-10% (v/v).

If the delivery parameters of the topically active pharmaceutical or cosmetic agent so require, the topically active composition of the present invention may be further comprised of a pharmaceutically or cosmetically acceptable vehicle capable of functioning

as a delivery system to enable the penetration of the topically active agent into the hair follicle and the skin.

5           The pharmaceutical or cosmetic composition may be optionally combined with other ingredients such as moisturizers, cosmetic adjuvants, anti-oxidants, depigmenting agents, anti-aging agents, hair removal agents, hair styling agents, sunscreens surfactants, foaming agents, conditioners, humectants, fragrances, 10 colorants, viscosifiers, buffering agents, preservatives, and the like and mixtures thereof. These will be combined in an amount which will not affect the serine protease inhibitory activity, in order to produce cosmetic or pharmaceutical products such as, non- 15 exclusively, essences, creams, lotions, pastes, gels, powders, patches or injectables and the like for the reduction of hair growth, hair size and hair pigmentation.

20           The compositions of this invention may be applied prior to, concurrently with or after other active ingredients or compositions to enhance their effect. For example, the compositions of this invention may be 25 applied in conjunction with one or more products whose purpose is to facilitate the removal of hair to to actually remove hair, reduce hair visibility, improve hair style or improve hair management. The compositions

of this invention may be applied topically prior to, during or following hair removal. They may be applied topically concurrently with one or more of the following group: depilatory agents, shampoo, hair conditioner, styling gel, hair care products, waxing products, shaving products, hair-removal products, after-shave products, deodorant, anti-perspirant, electrolysis, laser hair removal, light-induced hair removal, mask or bath additives.

The compositions of this invention may be applied daily for at least four to eight weeks, by which an effect upon the appearance of hair should be observed. Application may be continued as long as desired to maintain the condition of the hair. Daily application to the face may mitigate the condition of pseudofolliculitis barbae and/or hirsutism; application to the axillary area may reduce hair growth under the arms and application to the scalp and hair may assist in managing and styling African-type hair.

The topically active pharmaceutical or cosmetic composition should be applied in an amount effective to effect changes in mammalian hair growth, hair follicle and hair shaft size and hair shaft pigmentation. As used herein "amount effective" shall mean an amount sufficient to cover the region of skin surface where a delay in hair growth and hair pigmentation and reduced

hair size are desired. Preferably, the composition is applied to the skin surface such that, based upon a square cm of skin surface, from about 2  $\mu\text{l}$  / $\text{cm}^2$  to about 500  $\mu\text{l}$  / $\text{cm}^2$  of topically active agent is present when a delay in hair growth, hair size and hair pigmentation is desired.

We have unexpectedly found that when topically active agents, such as soymilk, or isoflavone-enriched soymilk are topically applied to an animal's skin, a significant delay in hair growth, hair follicle and hair shaft size and hair shaft pigmentation was achieved. We further believe that since the hair growth cycle for humans is often slower than that for mice, it is further likely that the hair growth delay in humans would be considerably longer than in mice.

The invention illustratively disclosed herein suitably might be practiced in the absence of any component, ingredient, or step which is not specifically disclosed herein. Several examples are set forth below to further illustrate the nature of the invention and the manner of carrying it out. However, the invention should not be considered as being limited to the details thereof.



19	the regression of the follicle (catagen) was observed histologically
21 to 25	the hair follicle is back to resting phase.

As shown in Table 1, the hair growth was visible several days after depilation as the pink skin of the animal began to darken. This is likely due to hair pigmentation in the shaft since the C57BI/6 and C3H mice contained melanocytes only in the hair follicles and not in the dorsal epidermis. Similar hair growth pattern was documented in our international application No. PCT/US 97/11033, when chemical depilation using commercially available products was performed.

Since the murine hair cycle varies not only between strains, but also amongst individual animals, the status of the hair cycle was analyzed in each animal on study.

A 2 cm by 1 cm skin sample was isolated from each mouse with scissors, fixed with a 10% buffered formalin solution having a pH of about 6.9 - 7.1 at 25 °C (Stephens Scientific), and then formed into a paraffin block according to well-known procedures. The block was then microtomed, and sections were stained with H&E or Fontana-Mason stain. Sections were examined histologically in order to verify the phase of the hair cycle, the size of the hair follicle and hair shaft and the level of hair pigmentation, using procedures well known in the art. Hair length was assessed visually,



and by using a low magnification (x8) dissecting microscope.

5 This Example, as well as the one described in our international application No. PCT/US 97/11033, shows that the hair growth cycle for C57BI/6 and C3H mice averaged about 25 days and reports similar timing of hair follicle and shaft development regardless of the method used for depilation.

10 **EXAMPLE 2: Preparation of soymilk and soymilk formulations**

15 One way to make soymilk is to soak the soybeans in deionized or purified water for several hours, and grind them after they were fully hydrated, with the addition of small quantities of water. (The grinding process allows the soybean milk to be extracted). After collection, the soybean milk may be filtered to remove any residual parts of the bean husk. The soymilk used  
20 in the formulations described below can be fresh soymilk as described above, or may be made from soybean powder and water. The soybean powder is milled from soybeans and may also be lyophilized, spray dried, or freeze-dried and the resulting soymilk may or may not be  
25 filtered. Such prepared soymilk may have from about 1 to about 90% by weight dry soybean powder. Another example is the use of soymilk powder, made from lyophilized, spray dried or freeze-dried soymilk, with the addition

of water and finished with or without filtration or homogenization. Other methods of soybean extraction could also be used to create the active ingredients in the formulations described below. For example, the active ingredients could be extracted from ground soybeans using ethanol/water mixtures, followed by the removal of the ethanol from the extract, in such ways that the serine protease inhibitory activity of the soybean will be retained, and preferably that the protein STI will remain intact.

The soy products useful in this invention may be produced from all soybean species, regardless of their geographic origin, sun exposure, harvest time and the like. However, specific strains, geographic origins or growth conditions might be preferred. For example, but not limiting to, soybean strains particularly rich in its Soybean Trypsin Inhibitor (STI) content or in isoflavone content, or growth conditions that result in STI or isoflavone enrichment in the bean, might be preferred. It should be noted that the soy products useful in the compositions of this invention have a distinctive odor, which may be tolerable in some cultures, but is undesired in others. If necessary, the odor of the compositions of this invention may be reduced by using soybean products derived from specific strains of soybeans known to produce reduced-odor, including, but not limited to, lipoxygenase-2-deficient beans and those having modified

sugar profile, and the like. A process to reduce oxygen levels in the formulation may also reduce the odor. Various masking agents or fragrances may also be used to mask the odor.

5 The compositions of this invention may further comprise surfactants, moisturizers, humectants, conditioners, fragrances, colorants, preservatives, anti-oxidants, depigmenting agents, hair removal agents, anti-aging agents, sunscreens, foaming agents, cosmetic adjuvants, 10 buffering agents or mixtures thereof.

The compositions of this invention may be left on the skin for a period sufficient to effect changes. For example, the compositions of this invention may be applied to the skin daily treatment for at least about four weeks, more preferably, the composition should applied daily for at least eight weeks.

Another method according to this invention is a method to 20 reduce or prevent pseudofolliculitis barbae. Daily application of the compositions of this invention may reduce or prevent this condition. The compositions of this invention may also be applied daily to the axillary area to reduce hair growth. Furthermore, the compositions of 25 this invention may be applied daily to the scalp to style and improve management of African type hair.

As shown in our co-pending U.S. Patent Application (Attorney Docket No. JBP 430), numerous soymilk-based formulations could be used to reduce pigmentation. All these formulations could also be used to reduce hair growth. Some particularly preferred examples of soymilk formulations and soymilk formulations containing isoflavones are shown in table 2 below. An example for an isoflavones preparation that could be used in this invention is Flavosterone SE from Ichimaru, Japan, which contains about 0.1% pure isoflavones. In all these formulations, soymilk could be replaced with the appropriate quantities of soybean powder or soymilk powder and water.

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Table 2: Soymilk Essence formulations:

Soybean Essences									
	1	6	8	21	22	23	24	25	26
Soymilk	87.42%	89.04%	96.09%	96.05%	96.05%	95.70%	94.40%	94.40%	92.40%
Phenoxyethanol	0.73%								
Phenoxyethanol and Parabens		1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%
Glycerin	2.50%	2.50%							
Cyclomethicone	2.00%								
Aluminum Starch Octyl Succinate	0.75%								
Sucrose Cocoate	1.00%	1.00%							
PEG-6 Capric/Caprylic Triglycerides	3.00%	3.00%							
Disodium EDTA	0.10%	0.10%				0.05%	0.05%	0.05%	0.05%
Polyacrylamide/Laureth-7/C <sub>13-14</sub> Isoparaffin	2.50%	2.75%	2.90%	2.90%	2.90%	3.20%	3.50%	3.50%	3.50%
Ascorbic Acid		0.01%							1.00%
Butylated Hydroxytoluene		0.10%	0.01%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%
Polysorbate 20		0.50%							
Lactoferrin							1.00%	1.00%	1.00%
Tocopherol									1.00%
TOTAL	100.00%	100.00%	100%	100%	100%	100%	100%	100%	100%

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	27	28	29	30	31	32	33	34	35
Soymilk	90.70%	94.70%	85.70%	90.70%	93.70%	94.70%			
Phenoxyethanol and Parabens	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%
Glycerin	5.00%								
Disodium EDTA	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%
Polyacrylamide/Laur eth-7/C <sub>13-14</sub> Isoparaffin	3.20%	3.20%	3.20%	3.20%	3.20%	3.20%	3.20%	3.20%	3.20%
Ascorbic Acid									
Butylated Hydroxytoluene	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%
Deionized Water							90.70%	90.70%	85.70%
Dow Corning 200 Fluid		1.00%							
Flavosterone SE			10.00%	5.00%	2.00%	1.00%			
Soymilk Powder							5.00%		
Soybean Extract using Ethanol/Water Mixture								5%	10%
TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%

5

**EXAMPLE 3: Preparation of Topically Active Compositions containing soybean derived protease inhibitors**

Soybean trypsin inhibitor (STI) and Bowman-Birk inhibitor (BBI), from Sigma-Aldrich Corporation were mixed into a 0.1M phosphate buffered saline (PBS, Gibco-BRL, Gaithersburg, MA), pH 7.4, in concentrations of 1% to 0.001% (w/v). Four volumes of the resulting solutions were then mixed with 1 volume of (100 mg/ml) liposomes vehicle, which was prepared by the methods described in Niemiec et. al, in order to yield the

topically active composition. Non-ionic liposomes preparations, such as those disclosed in Niemiec et al., "Influence of Nonionic Liposomal Composition On Topical Delivery of Peptide Drugs Into Pilosebaceous Units: An In Vivo Study Using the Hamster Ear Model," 12 Pharm. Res. 1184-88 (1995) ("Niemiec"), which is incorporated by reference herein in its entirety, are well known in the art, and are described our U.S. Patent Application (Attorney Docket No. JBP 430). GDL liposomes were prepared as set forth in Niemiec, et al., above, with the exception of the following changes: the non-ionic liposomal formulation contained glycerol dilaurate (Emulsynt GDL, ISP Van Dyk)/cholesterol (Croda)/polyoxyethylene-10-stearyl ether (Brij76, ICI)/polyoxyethylene-9-lauryl ether, as at ratio of 37.5:12.5:33.3:16.7. Either PBS or Hepes buffer, 0.05M, pH 7.4 (Gibco-BRL of Gaithersburg, MD) were used as the aqueous phase in the preparation of the liposomes.

**EXAMPLE 4: Soymilk Delays Hair Growth and reduce hair follicle and hair shaft size and Hair shaft Pigmentation**

C57Bl/6 mice were induced for a new hair cycle as described in Example 1, and treated daily with soymilk. Animals were observed daily for their hair growth pattern, and skin biopsies were taken at important time points of the hair cycle. As a result of soymilk treatment the hair growth of the treated mice was delayed, and their hairs were visibly thinner, and

smoother to touch. Treated mice did not show skin darkness at days 7-8 of the hair cycle, as expected, and hair shafts were not visible at days 11-12 as in the control animals. In average, the hair cycle of the soymilk treated mice was delayed by 3-6 days. Figure 1 is a picture of the mice fur, showing the difference in hair appearance, color, size and thickness following soymilk treatment.

Histological examination of the biopsied skin samples confirmed these observations. As shown in Figure 2 by Fontana-Mason (F&M) staining, at day four of the hair cycle the untreated hair follicle is fully developed, as expected, containing all the cellular layers and pigment deposition. In contrast, the soymilk treated sample, (shown at same magnification), shows a smaller and not as fully developed hair follicle, with no pigment deposition.

Figure 3 shows two sets of histological sections stained with F&M, of lower and higher magnification. These sections are from day seven of the hair cycle. The upper panel shows that soymilk treated skin has smaller, shorter, and less pigmented hair follicles than the untreated control. The lower panel shows a higher magnification of the follicles, further demonstrating the difference in hair follicle and hair shaft size and pigmentation following soymilk treatment.



Figure 4 shows low magnification of F&M stained skin sections at day 18 of the hair cycle. At this magnification it is obvious that soymilk treatment results in reduced hair follicle size, which leads to reduced hair shaft length and thickness, and reduced total pigment deposition within the treated follicles.

Figure 5 shows skin sections at day 21 of the hair cycle, with two magnifications. The upper panel demonstrates that the control animals were in the catagen stage, when hair follicles are regressing. Soymilk treated follicles, on the other hand, had already completed the catagen stage, as they are shown in telogen, the resting stage. This indicates that not only the hair cycle was delayed following soymilk treatment, it was also prematurely terminated. The lower panel demonstrates the catagen control follicle and the shorter, telogen (resting) soymilk-treated follicle using higher magnification.

**EXAMPLE 5: The effects of soymilk on hair growth, size and pigmentation are reproducible in C3H mice**

In order to verify that the effect of soymilk on hair growth is not specific to C57Bl/6 mice, we repeated the experiment described in Example 4 using the brown haired (Agouti) C3H mice. The results of these experiments were similar both visually and

histologically. Soymilk delayed hair growth and reduced hair follicle and hair shaft size and pigment deposition in the C3H mice.

5           Histological analysis confirmed these visual observations. As shown in the upper panel of Figure 6, using F&M staining, at day seven of the hair cycle soymilk treated follicles are smaller and accumulate less pigment than untreated controls. The upper panel of Figure 7 (F&M staining) shows a lower magnification of the same skin sections, demonstrating the thinner and less pigmented follicles following soymilk treatment.

10           Figure 8 shows F&M stained skin sections at day 21 of the hair cycle. As shown for the C57Bl/6 mice, following soymilk treatment the hair cycle terminates prematurely. Soymilk treated follicles are in the resting state, while untreated control follicles are still in catagen.

15           **EXAMPLE 6: The effects of soymilk and soybean derived serine protease inhibitors on hair growth, size and pigmentation**

20           In search for a mechanism to explain the effect of soymilk on hair growth, we tested the effect of the soymilk-derived serine protease inhibitors, STI and BBI. We had shown earlier that these proteins induce

25

depigmentation in skin, by affecting the PAR-2 pathway (U.S. Patent Application, Attorney Docket No. JBP 430).

The experiments described in Example 4 were repeated using STI, BBI, and soymilk. STI and BBI were used in a PBS-liposome vehicle as described in Example 3. Visual observations throughout the hair cycle confirmed that both STI and BBI could delay hair growth and reduce hair follicle and hair shaft size, similar to soymilk (see hair pictures in Figure 9). Using high concentrations of STI or BBI, the effect on hair growth and pigmentation was substantial.

Histological analysis confirmed these findings. As shown in Fig. 6, at day seven of the hair cycle 1% of STI and 1% of BBI reduce hair follicle and hair shaft size and hair shaft pigmentation in C3H mice. Figure 7 shows lower magnification sections of the same day into the hair cycle, demonstrating smaller hair follicles and hair shafts and reduced pigmentation, relative to untreated control, with soymilk, STI or BBI treatment. Figure 10 shows that STI and BBI have the same effect in C57Bl/6 mice too, demonstrating smaller and less pigmented follicles. Taken together, this example shows that STI and BBI are soybean-derived serine protease inhibitors, found in soymilk, that could delay hair growth, reduce hair follicle and hair shaft size and reduce hair pigmentation. STI and BBI could represent a

part of the soymilk ingredients that affects hair growth.

5 In order to support the hypothesis that STI and BBI  
in soymilk are involved in the hair growth effects  
described above, we tested soymilk for its serine  
protease inhibitory activity. An enzymatic assay was  
performed using "Enzchek", a protease digestion  
10 fluorescent test system made by Molecular Probes of  
Eugene, OR. Using 100 units of trypsin (from Sigma  
chemicals, St. Louis MO) the test system produced  
fluorescence reading of about 1100 units. This reaction  
was inhibited with increasing concentrations of STI, as  
15 expected from a known trypsin inhibitor. Serial  
dilutions of soymilk were tested in this assay, and  
found to inhibit trypsin activity. As shown in Figure  
11, soymilk exerts trypsin inhibitory activity similar  
to about 0.2% of pure STI. This suggests that soymilk  
could exert its hair growth effect, at least in part, by  
20 STI and BBI.

**EXAMPLE 7: Soymilk Induces Changes in Tyrosinase and  
TRP-1 protein expression**

25 The histological analyses of soymilk treated skin  
samples described in the examples above show dramatic  
reduction in pigment deposition within the hair  
follicle. To further understand the mechanism of  
soymilk-induced depigmentation, we studied tyrosinase,

the key enzyme in melanogenesis and Tyrosinase-Related Protein-1 (TRP-1), the enzyme that stabilizes tyrosinase. C57Bl/6 and C3H mice were treated as described above, and samples were collected throughout the study for protein analysis. Protein extraction and Western blot analysis were performed using standard procedures, such as the one described in Current Protocols in Cell Biology, Edited by Juan S. Bonifacino et al. Chapter 6: Electrophoresis and Immunoblotting. Copyright 1999 by John Wiley & Sons, Inc., which is incorporated herein by reference in its entirety. An example of one such study is shown in Figure 12.

Equal amounts of skin-extracted proteins were probed with the anti-tyrosinase antibody "anti PEP1", and with the anti-TRP-1 antibody "anti PEP7" which are described in Jimenez, M., Kameyama, K., Maloy, WL, Tomita Y., and Hearing, V. Mammalian tyrosinase: biosynthesis, processing and modulation by melanocyte stimulating hormone. Proc. Natl. Acad. Sci. USA (1988), 85:3830-34, and Jimenez, M., K., Maloy, WL, and Hearing, V. Specific identification of an authentic tyrosinase clone. J. Biol. Chem. (1989) 264:3397-3403, which are incorporated herein by reference in their entirety.

As shown in Figure 12, The expression of Tyrosinase and TRP-1 proteins is dramatically affected by soymilk treatment. Tyrosinase and TRP-1 levels are reduced, and



Cybernetics, Silver Spring, MD). Data are presented as average of 180 hair shafts of each side of the face, with standard deviation (SigmaPlot® 5.0, SPSS Science, Chicago, IL). Statistical analysis was performed using SigmaStat® 2.0 (SPSS Science) software, demonstrating a statistical significant difference in all measured parameters, following soymilk treatment.

**EXAMPLE 9: Soymilk reduces human legs hair length and thickness**

Hair was wax-depilated of two symmetrical areas of the medial part of the legs, below the knee, in one individual. One leg was treated daily, for four weeks, with soymilk. Visual observations indicate slower hair growth on the treated site. Hair shafts were reduced in number and were shorter and thinner than those of the untreated site, as shown in Figure 15. These observations further confirm the effect of soymilk on hair growth. Examples 8 and 9 together confirm that the effect of soymilk on human hair growth is not related to the method of hair removal or to the body part being treated.

**EXAMPLE 10: Soymilk formulations enriched with isoflavones are preferred to soymilk formulations in reducing hair growth and pigmentation.**

The experiments described in Example 4 were repeated, using two formulations described in Table 2

above, Soymilk Essence 23 which is a soymilk-based formulation, and soymilk Essence 30 which is identical to Soymilk Essence 23 except the addition of 5% of a 0.1% isoflavones extract. As shown in Figure 16, mice treated with Soymilk essence 23 show reduced hair growth and nicer hair appearance. This effect was more pronounced with the use of soymilk Essence 30, demonstrating that isoflavone-enriched soymilk formulations are superior to soymilk formulations in reducing hair growth. Figure 17 shows histological skin sections of the treated mice, at day 15 of the treatment. The hair shafts documented in these sections clearly demonstrate the reduction in hair shaft dimensions, the reduced level of pigmentation within the hair shaft, and the increased smoothness of the hair shaft following the Soymilk Essence treatments.

**EXAMPLE 11: Soymilk formulations enriched with isoflavones are preferred to isoflavone formulations which are effective in reducing hair growth and pigmentation.**

The experiments described in Example 4 were repeated, using formulations described in Table 2 above, of soymilk essence with or without increasing concentrations of isoflavones. These Soy Essence formulations were compare to similar formulations, where the soymilk component only was replaced with water. These three sets of formulations (Soy Essence,



isoflavones, Soy Essence containing additional isoflavones) were prepared to test the possibility that isoflavones might be sufficient for the effect observed on hair growth. Figure 18 shows the C57Bl/6 mouse hair following three weeks of topical treatment, as described in example 4. Both untreated control mice and placebo treated mice have long and less "ordered" hair. Soymilk Essence 23 reduces hair growth and leads to a nicer appearance, as described earlier in this application. Soymilk Essence formulations containing 1, 5 and 10% of a 0.1% isoflavones containing extract result in a superior effect on hair growth. However, formulations containing isoflavones but no soymilk demonstrate milder, and not as superior effect as when combined with soymilk. This example demonstrates that soymilk formulations containing isoflavone could reduce hair growth. This example further demonstrates that soymilk formulations containing isoflavones reduce hair growth to a higher degree than formulations containing isoflavones alone.

**Example 12: Soy Essence formulations affect human hair growth**

The efficacy and irritancy potential of Soymilk Essence 23 and 30 compared to a placebo formulation were examined in a blinded placebo-controlled four-week test with twelve pre-menopausal female panelists ages 29 to 45 by evaluations by the study investigator, self-

assessment by panelists and Hi-scope image analysis. Panelists signed an Informed Consent and were instructed about study procedures and expectations and were asked to shave that night. At the baseline visit the following day, two test lotions were distributed to each panelist (Day 1), a placebo lotion and either soymilk Essence 23 or 30. The lotions were randomly assigned to either the right leg or left leg. The test lotions and placebo were used on the respective legs for the duration of the study with no other lotions used on the lower legs. Panelists were instructed to apply the test lotions twice daily, morning and evenings and were also instructed to try to refrain from shaving their lower legs until after each weekly evaluation. On evaluation days, the investigator visually inspected the panelists' legs for any clinical signs of irritation and compared legs for hair growth attenuation. Self-assessment questionnaires were completed by panelists at each evaluation time point (Weeks 1, 2, 3 and 4). In addition, Hi-scope images (2.5 cm in diameter for each image, KH-2400R, Hirox) were obtained at each time point using a MX-MACROZ lens (Hirox).

No panelists dropped from the study for any product-related reason. No signs of irritation were seen in any of the study panelists at any time point, nor was any irritation reported when self-assessed by panelists at any time point during the study.

For the purpose of hair counts all hair, including "stubble", were counted in the given 2.5 cm field for each panelist at each time point. Results showed a decrease in lower leg hair counts by week 5 for Soymilk Essence 30 and by week 4 for Soymilk Essence 23. The placebo treated legs did not show a change in mean leg hair counts throughout the study although the standard deviations were large. The growth rate was calculated by dividing the length of time (in days) since the panelist last shaved, by the average length of leg hairs for that panelist, which was calculated from the hi-scope images using Image Pro Plus analysis for each panelist at each test site. The results are documented in Table 3, demonstrating that both Soymilk Essences 23 and 30 treatments resulted in reduced hair growth rate compared to placebo.

-

**Table 3: Mean leg hair growth rates following Soymilk Essence or placebo treatment**

Week	Location	Soymilk Essence 30	Soymilk Essence 23	Placebo
0 (baseline)	Upper	0.211 ( $\pm 0.07$ )	0.184 ( $\pm 0.07$ )	0.243 ( $\pm 0.07$ )
	Lower	0.248 ( $\pm 0.08$ )	0.191 ( $\pm 0.06$ )	0.235 ( $\pm 0.09$ )
1	Upper	0.216 ( $\pm 0.05$ )	0.153 ( $\pm 0.03$ )	0.211 ( $\pm 0.06$ )
	Lower	0.178 ( $\pm 0.08$ )	0.213 ( $\pm 0.03$ )	0.188 ( $\pm 0.07$ )
2	Upper	0.232 ( $\pm 0.11$ )	0.181 ( $\pm 0.04$ )	0.221 ( $\pm 0.07$ )
	Lower	0.236 ( $\pm 0.12$ )	0.195 ( $\pm 0.07$ )	0.217 ( $\pm 0.09$ )
3	Upper	0.241 ( $\pm 0.06$ )	0.185 ( $\pm 0.09$ )	0.285 ( $\pm 0.13$ )
	Lower	0.213 ( $\pm 0.10$ )	0.147 ( $\pm 0.04$ )	0.253 ( $\pm 0.12$ )
4	Upper	0.234 ( $\pm 0.07$ )	0.209 ( $\pm 0.11$ )	0.211 ( $\pm 0.09$ )
	Lower	0.194 ( $\pm 0.05$ )	0.208 ( $\pm 0.07$ )	0.220 ( $\pm 0.04$ )

5 Results from panelists' self-assessment questionnaires showed that panelists felt that the test lotions attenuated hair growth and softened the feel of leg hair, compared to the placebo lotion. Panelists felt that the hair felt less coarse and less stubbly. The majority of the panelists believed that the test lotions were attenuating leg hair growth or altering the texture of the hair so that it felt smoother and less coarse. Hi Scope analysis further demonstrated that the hair re-growth following treatment with Soymilk Essence 23 or 30 seemed to be growing in the same direction and was more uniform in shape, texture and appearance. In contrast, the hair that re-grew on the

10

15

placebo- treated legs grew in different directions, differing in length, angle of growth and thickness.

5 This Example clearly demonstrate the effect of soymilk formulations in delaying and reducing hair growth, and enabling the growth of softer, less coarse and more managed and directionally-organized hair.

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We claim:

1. A method for effecting changes in mammalian hair appearance, hair growth, hair pigmentation and hair follicle and hair shaft size, comprising topical application to the skin of a mammal an effective amount of a topically active composition comprising one or more compounds derived from one or more of the botanical families leguminosae, solanaceae, gramineae and cucurbitaceae.

2. The method of claim 1 wherein said extract contains at least one serine protease inhibitory activity.

3. The method of claim 2 wherein said at least one serine protease inhibitory active agent is a serine protease inhibitor.

4. The method of claim 3 wherein said at least one serine protease inhibitor is present in an amount, based upon the total volume of the topically active composition, of from about 0.0001% (w/v) to 20% (w/v).

5. The method of claim 4 wherein the at least one serine protease inhibitor is present in an amount, based upon the total volume of the topically active composition, of from about 0.001% (w/v) to about 5% (w/v).

6. The method of claim 1 wherein one of said changes is a delay in hair growth, reduced hair follicle and hair shaft size and reduced hair pigmentation.

5 7. The method of claim 1 wherein said topically active composition further comprises a pharmaceutically or cosmetically acceptable vehicle.

10 8. The method of claim 1 wherein said topically active composition further comprises one or more isoflavones.

9. The method of claim 8 wherein said topically active composition further comprises a pharmaceutically or cosmetically acceptable vehicle.

15 10. The method of claim 1 wherein said topically active composition further comprises natural extracts containing one or more isoflavones.

20 11. The method of claim 1 wherein said composition is applied topically in conjunction with one or more products whose purpose is to either facilitate the removal of hair or actually remove hair or reduce hair visibility or improve hair style or improve hair  
25 management.

12. The method of claim 1 wherein said composition is applied topically before or following hair removal.

13. The method of claim 1 wherein said composition is applied topically during hair removal.

5 14. The method of claim 1 wherein said composition is applied topically in conjunction with one or more of the group consisting of: depilatory agents, shampoo, hair conditioner, styling gel, hair care products, waxing products, shaving products, hair-removal products, after-shave products, deodorant, anti-perspirant, electrolysis,  
10 laser hair removal, light-induced hair removal, mask, bath additives.

15 15. The method of claim 1 further comprising leaving said composition on said skin for a period sufficient to effect said changes.

16 16. The method of claim 1 wherein said period is daily treatment for at least about four weeks.

20 17. A method according to claim 16 wherein said composition is applied daily for at least eight weeks.

25 18. A method according to claim 1 wherein said composition is applied daily to reduce or prevent pseudofolliculitis barbae.

19. A method according to claim 1 wherein said composition is applied daily to the axilliary area to reduce hair growth.



20. A method according to claim 1 wherein said composition is applied daily to the scalp to style and improve management of African type hair.

5

21. A method according to claim 1 wherein said composition is added daily to a bath.

10

22. A method according to claim 1 wherein said composition is used daily on facial or body parts for delaying hair growth and reducing hair visibility in sufferers of hirsutism.

15

23. A composition for affecting changes in mammalian hair growth, hair pigmentation and hair shaft and follicle size, comprising topically applying to skin of a mammal an effective amount of a topically active composition comprising one or more compounds derived from one or more of the botanical families leguminosae, solanaceae, gramineae and cucurbitaceae.

20

24. The composition of claim 23 wherein the extract contains at least one serine protease inhibitory activity.

25

25. The composition of claim 24 wherein the at least one serine protease inhibitory active agent is present in an amount, based upon the total volume of the

topically active composition, of from about 0.0001% (w/v) to about 20% (w/v).

5 26. The composition of claim 25 wherein the at least one protease inhibitory active agent is present in an amount, based upon the total volume of the topically active composition, of from about 0.001% (w/v) to about 5% (w/v).

10 27. The composition of claim 23 wherein the composition further comprises one or more isoflavones.

15 28. The composition of claim 23 wherein the composition further comprises one or more natural extracts containing one or more isoflavones.

20 29. The composition of claim 23 wherein the composition further comprises surfactants, moisturizers, humectants, foaming agents, cosmetic adjuvants, buffering agents, preservatives, anti-oxidants, conditioners, depigmenting agents, hair removal agents, anti-aging agents, sunscreens, fragrances, colorants, or mixtures thereof.

25 30. The composition of claim 23 wherein said composition is in the form of liquid, cream, gel, paste, powder, essence, mousse, emulsion, film patch or spray.



36. A composition according to claim 35 wherein said compound is a fraction of soybean milk, soybean extract, soybean paste, limabean milk, limabean extract, limabean  
5 paste, blackbean milk, blackbean extract, blackbean paste, soybean powder, blackbean powder, limabean powder, soymilk powder, blackbean milk powder and limabean milk powder and mixtures thereof.

10 37. A composition according to claim 35 comprising bean milk in an amount of from about 1 to about 99% by weight.

38. A composition according to claim 35 comprising soybean powder, soymilk powder or a mixture thereof in an amount of  
15 from about 1 to about 99% by weight.

39. A composition according to claim 36 comprising soybean trypsin inhibitor, limabean trypsin inhibitor or blackbean trypsin inhibitor in an amount of from about 0.0001 to about  
20 20% by weight.

40. A composition according to claim 33 said composition further comprising one or more isoflavones.

41. A composition according to claim 33 said composition  
25 further comprising one or more natural extracts containing one or more isoflavones.

42. A composition according to claim 40 wherein said one or more isoflavones are present in said composition in amount of from about 0.00001 to about 0.1 % by weight.

5 43. A composition according to claim 41 wherein said one or more natural extract containing said one or more isoflavones are present in said composition in amount of from about 0.00001 to about 0.1 % by weight.

10 44. A cosmetic composition according to claim 23, said composition further comprising a cosmetically-acceptable vehicle.

15 45. A composition according to claim 23 wherein said composition further comprises liposomes.

20 46. A composition according to claim 45 wherein said composition comprises glycerol dilaurate, cholesterol, polyoxyethylene-10-stearyl ether and polyoxyethylene-9-lauryl ether.

25 47. A composition according to claim 23 wherein said composition comprises from about 1 to about 99% bean milk, from about 0.1 to about 20% emulsifier and preservatives in an effective amount.

48. A composition according to claim 23 wherein said composition comprises from about 0.5 to about 20 %



Abstract

5 The present invention utilizes natural and/or synthetic serine protease inhibitory agents or botanical extracts containing serine protease inhibitory activity, with or without the addition of one or more isoflavones and/or additional natural extracts containing one or more isoflavones, and their ability to affect changes in mammalian hair growth, hair follicle and hair shaft size and hair pigmentation.

001210" 09572960

# Figure 1

## Soy milk affects hair growth

C57Bl/6 mice, induced hair cycle, day 18

Control



Soy milk





# Figure 2

**Soy milk delays hair growth**

**Day 4 of hair cycle, C57BL/6 mice, same magnification**

**Control**



**Soy milk**



# Figure 3

**Soymilk reduces hair follicle size and pigmentation  
Day 7 of hair cycle, C57Bl/6 mice, same magnification for  
each pair of figures**

**Control**



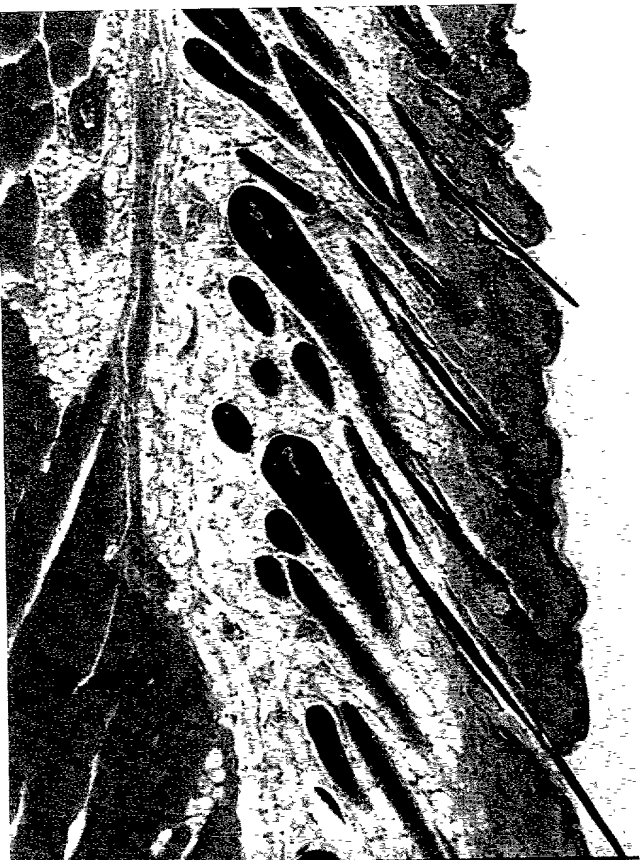
**Soymilk**



# Figure 4

**Soy milk reduces hair follicle size and hair shaft thickness**  
**Day 18 of the hair cycle, same magnification**

**Control**



**Soy milk**



# Figure 5

**Soy milk induces earlier termination of the hair cycle  
Day 21 of the hair cycle, same magnification**

**Control**

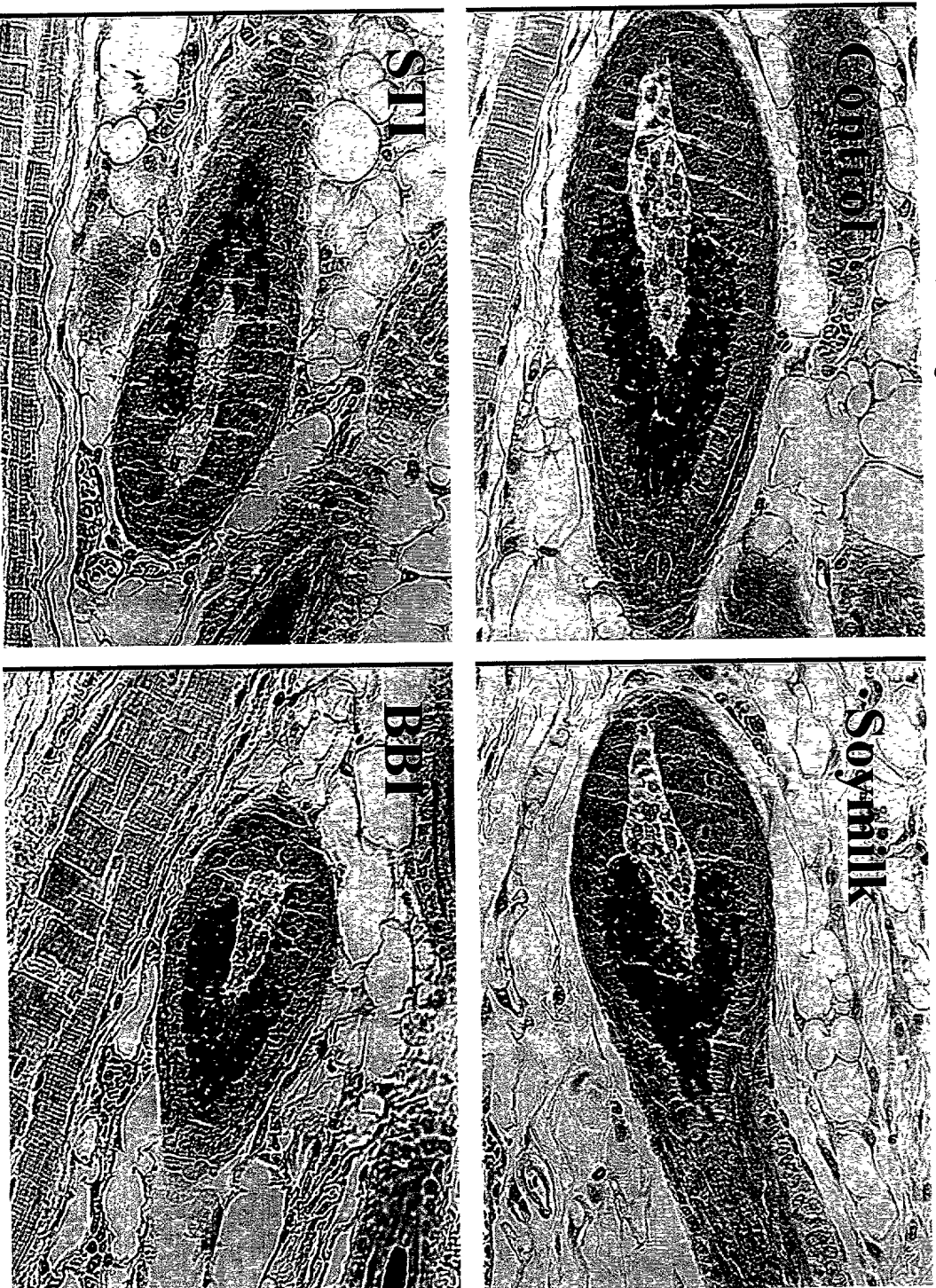


**Soy milk**



**Figure 6**

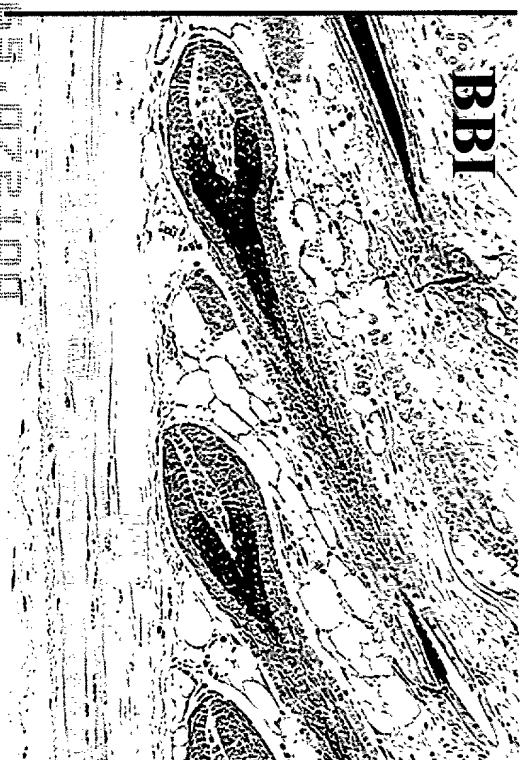
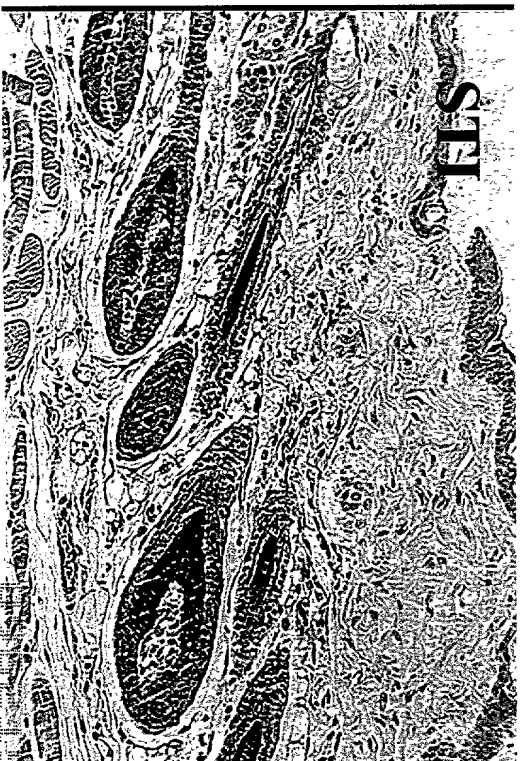
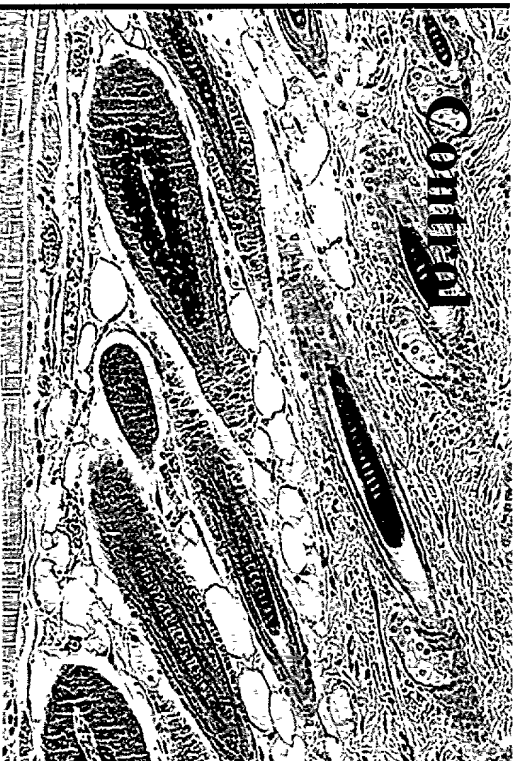
**Soy milk and soybean derived proteins reduce hair follicle size  
C3H mice, day 7 of the hair cycle, same magnification**





**Figure 7**

**Soy milk and soybean derived proteins reduce hair follicle size  
C3H mice, day 7 of the hair cycle, same magnification**



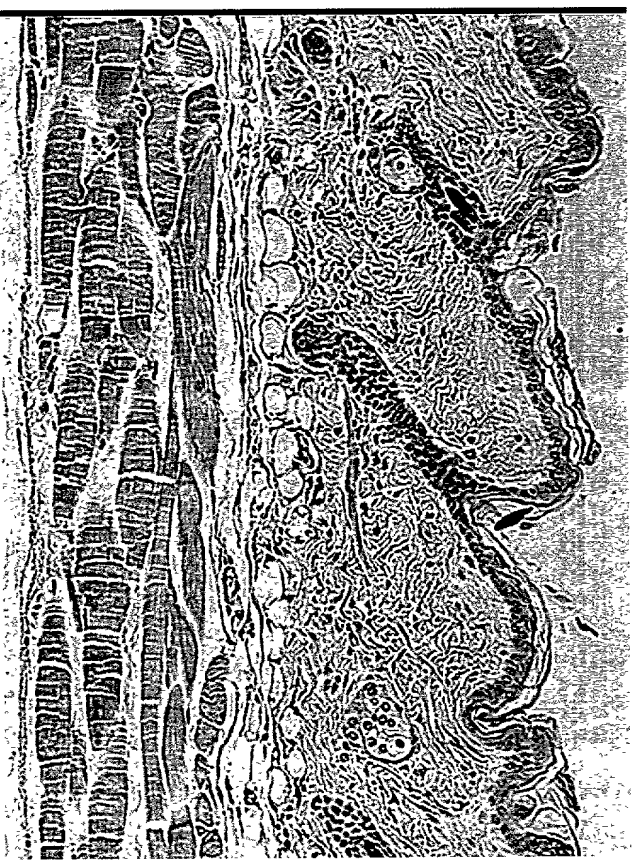
**Figure 8**

**Soy milk reduces hair follicle size and induces earlier catagen**  
**C3H mice, normal (non-induced) hair cycle, day 21**

**Control**



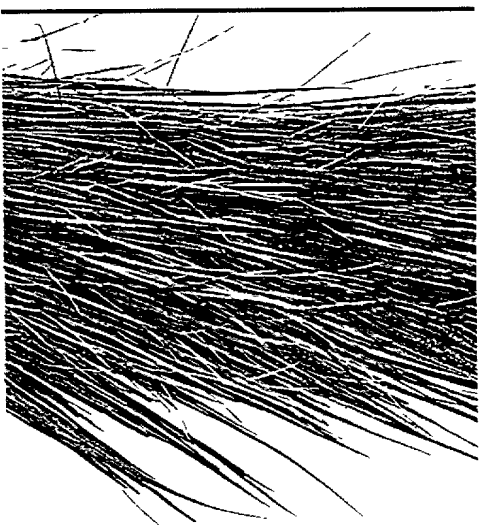
**Soy milk**



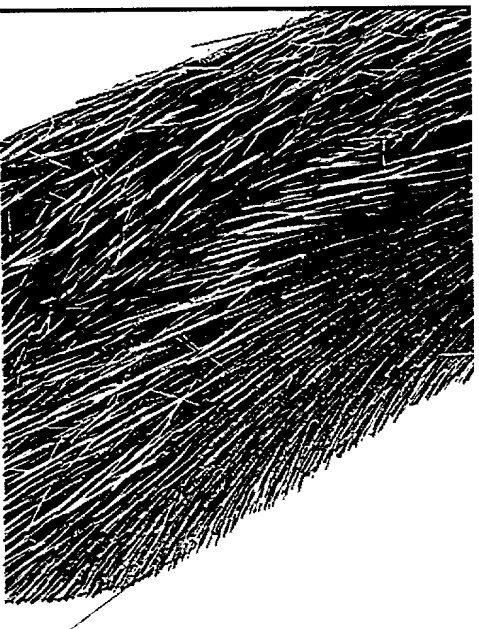
# Figure 9

**Soy milk derived proteins reduce hair growth  
C3H mice, induced hair cycle, day 18**

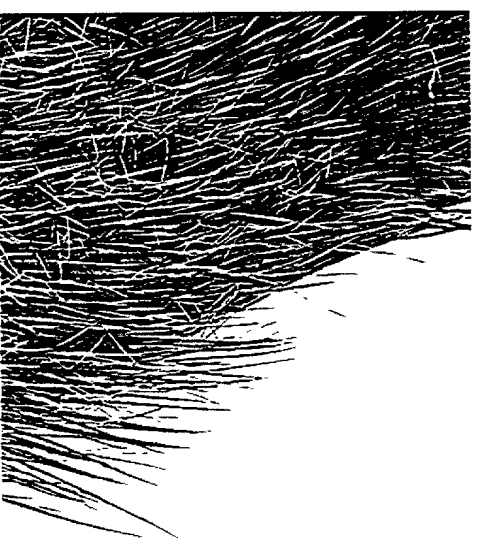
**Control**



**STI**



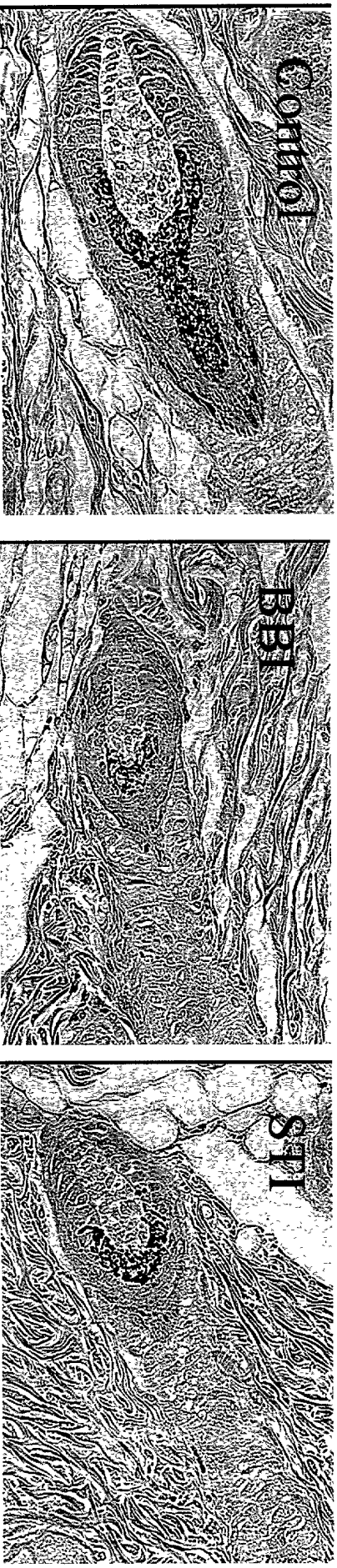
**BBI**



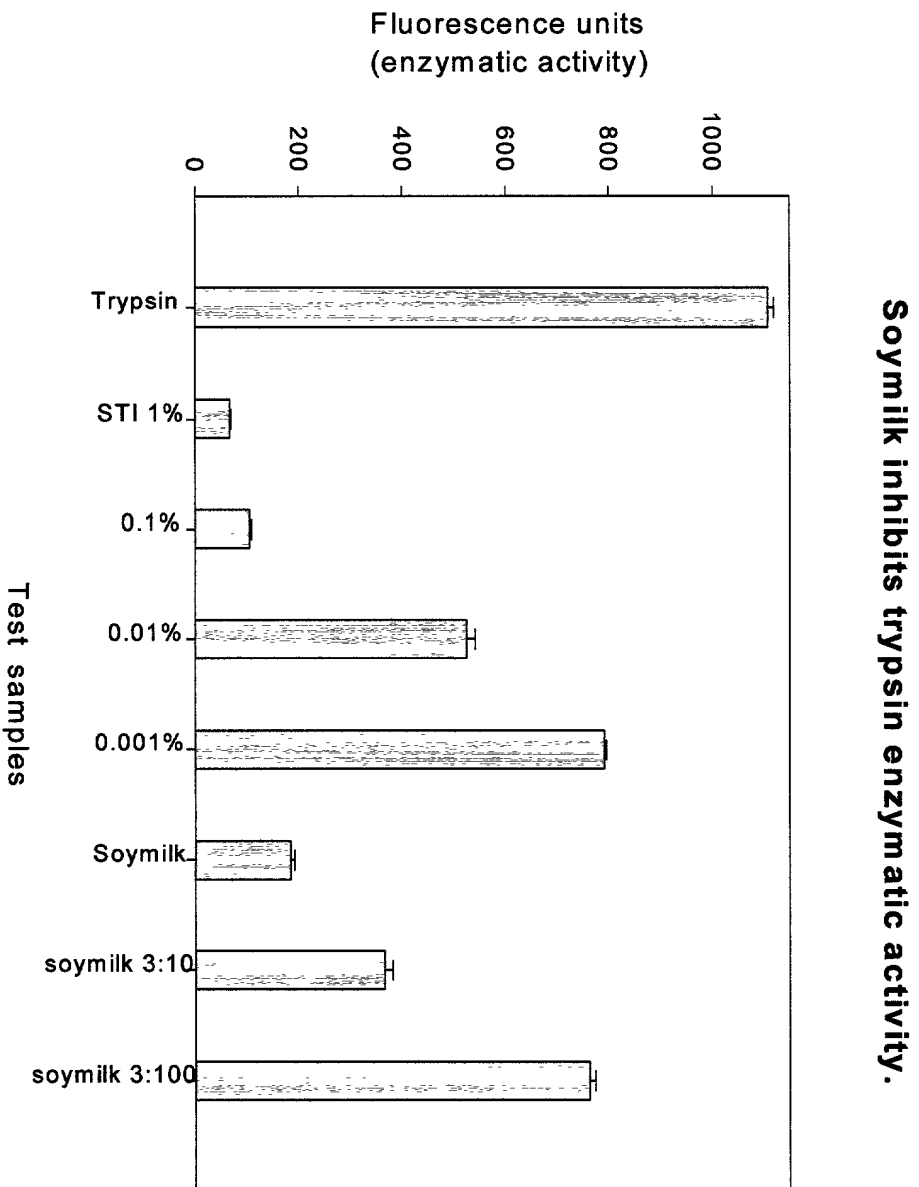


**Figure 10**

**The soybean derived serine proteases BBI and STI  
reduce hair follicle size and pigmentation,  
Day 8 of the hair cycle, C57Bl/6 mice, same magnification**

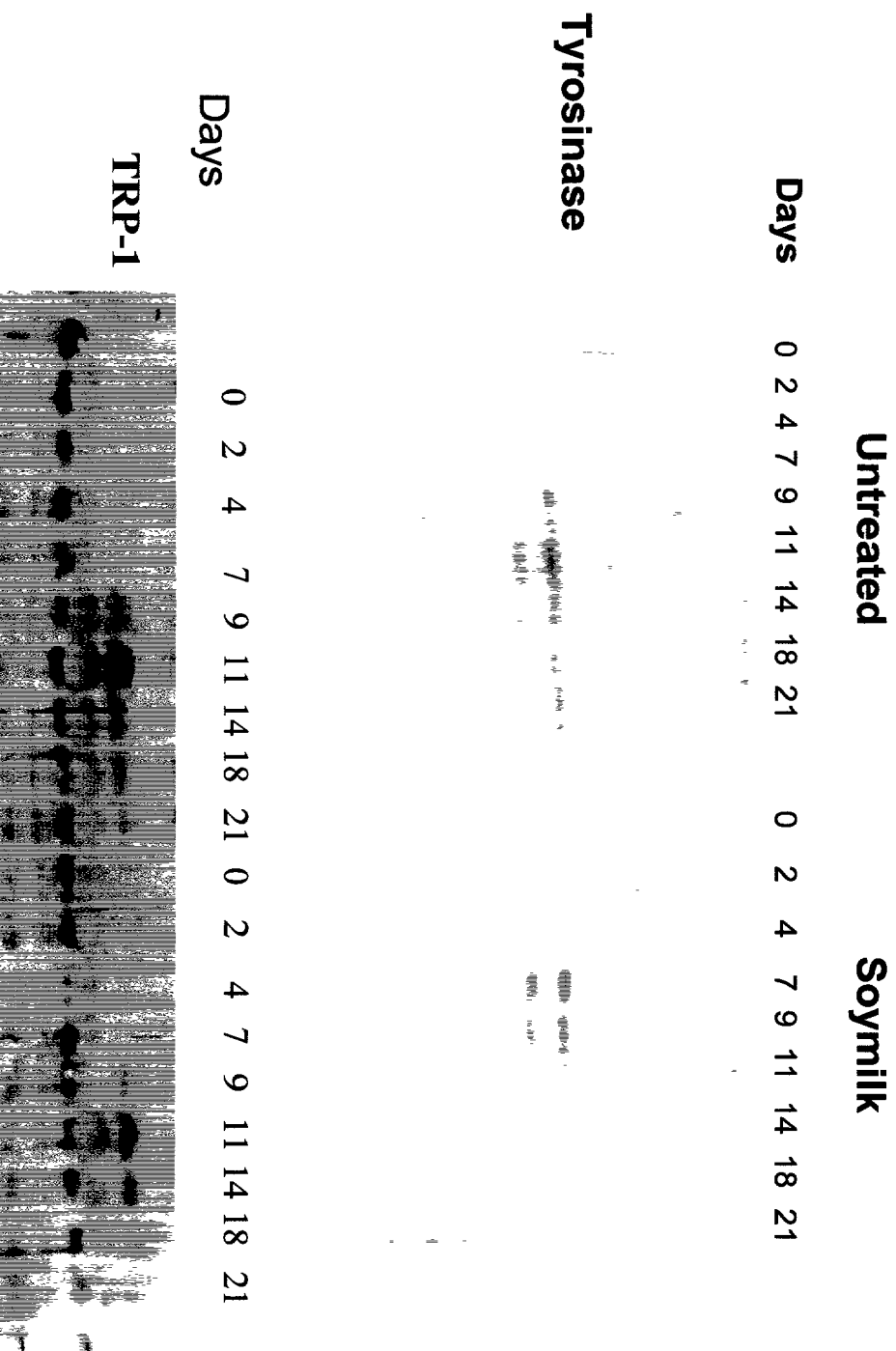


**Figure 11**



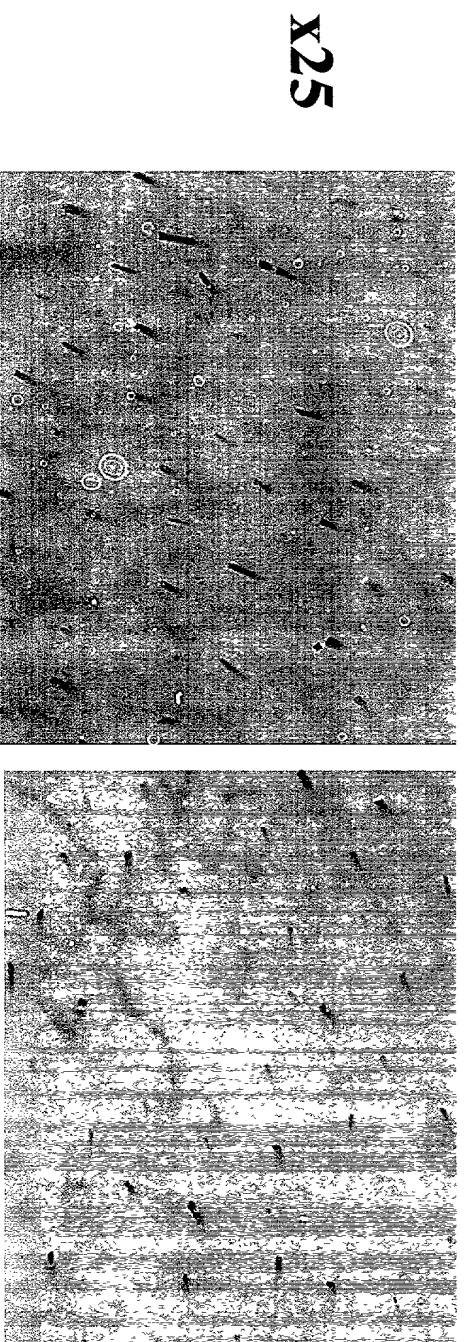
# Figure 12

**The expression of the Tyrosinase and TRP-1 proteins in soymilk treated skin is shorter and is reduced in quantity**



**Figure 13**

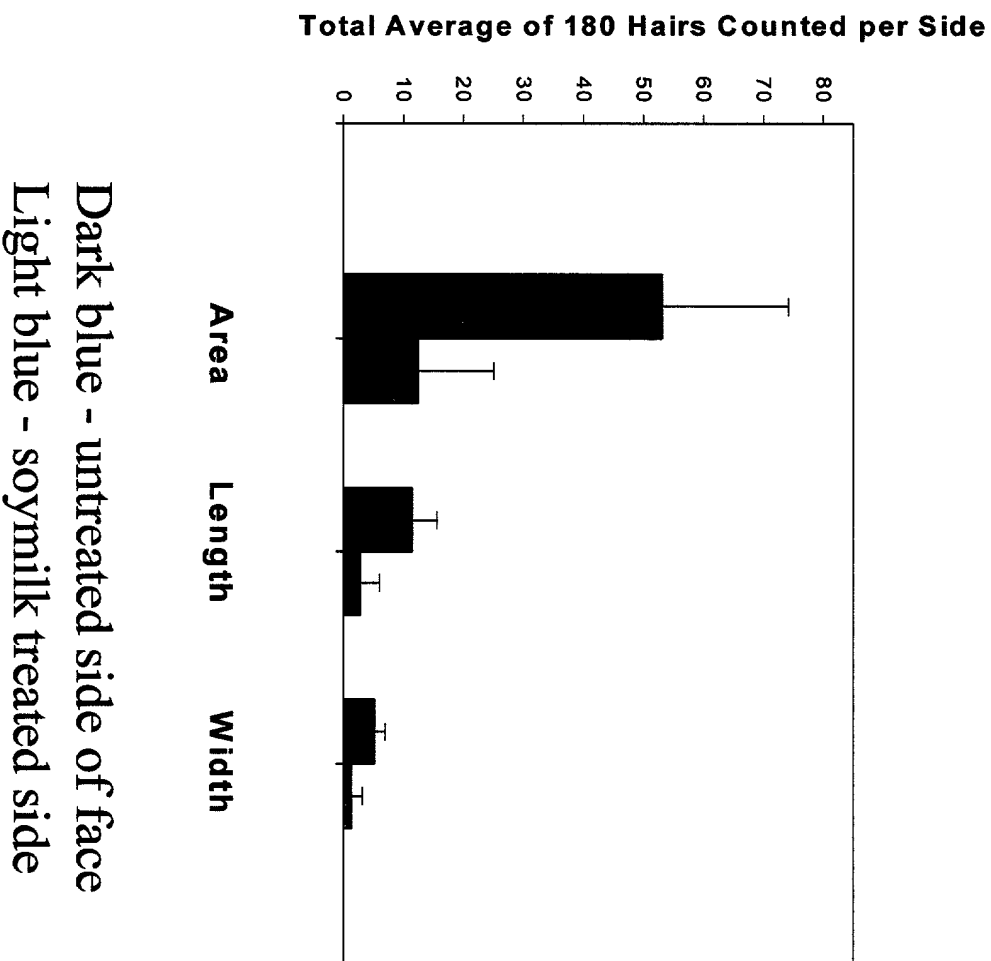
**Soymilk reduces human hair growth**  
**Human face, shaved daily, treated with soymilk on the**  
**right side for four weeks**



**Figure 14**

# **Soy milk effect on human facial hair growth**

## **One male subject, four weeks treatment**



Dark blue - untreated side of face

Light blue - soy milk treated side

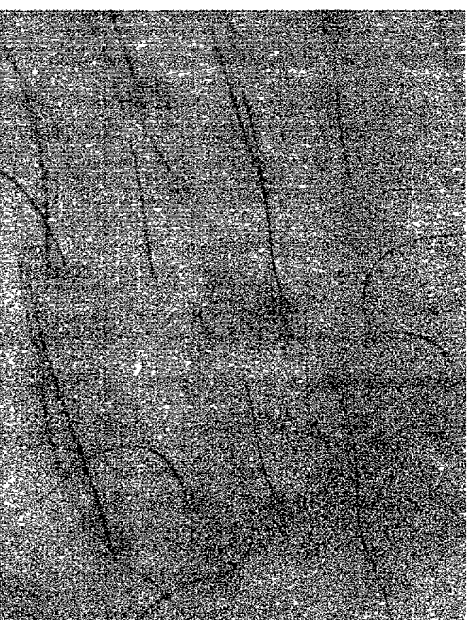
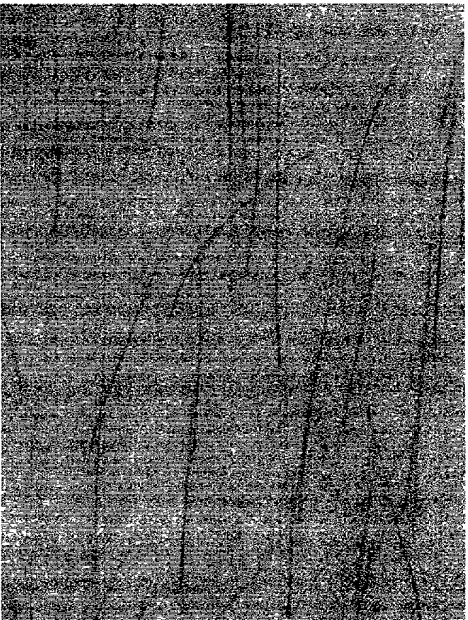
**Figure 15**

**Soymilk reduces hair growth in human legs**

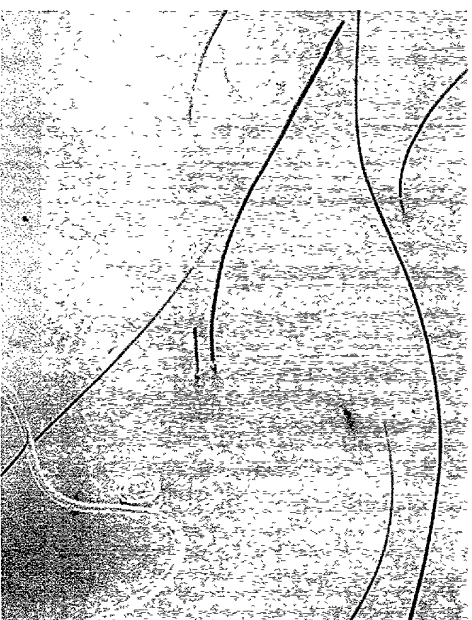
**Human legs, wax depilated, treated on one side for four weeks**

**Control**

**Soymilk**



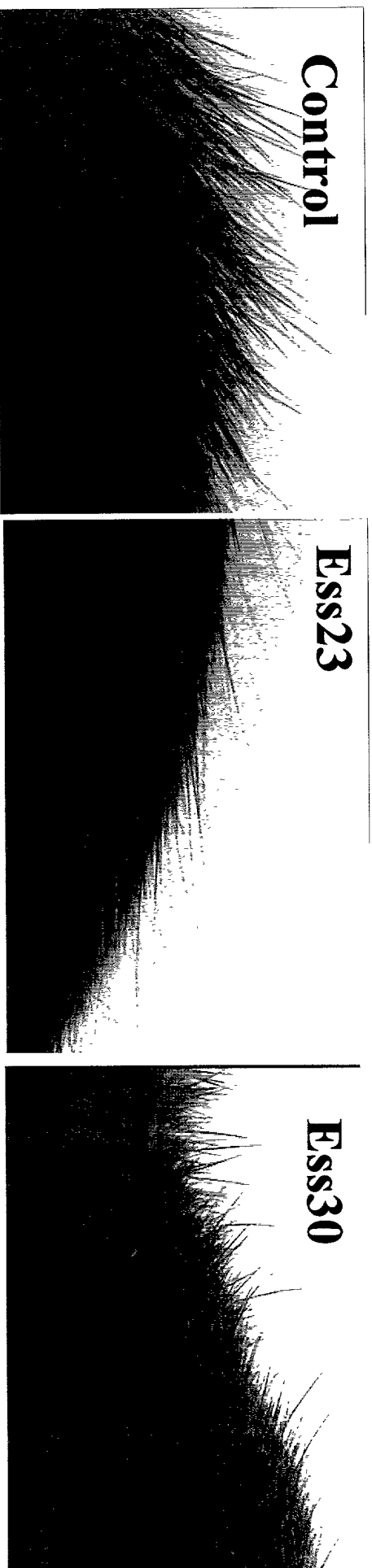
**X12**



**X25**

**Figure 16**

**C57Bl/6 mouse hair, treated for three weeks  
with soymilk-containing formulations**



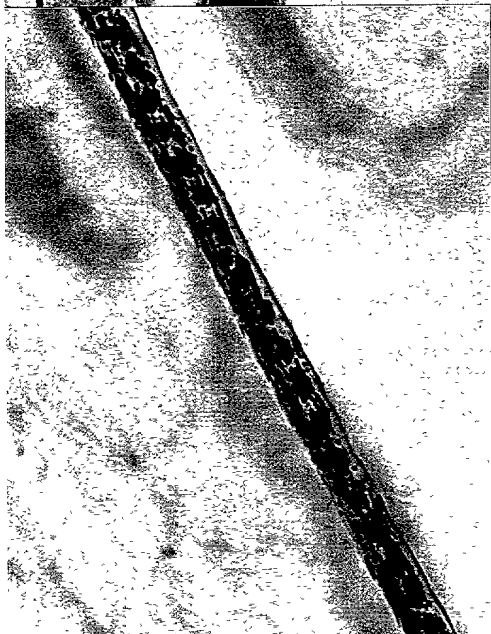
**Figure 17**

**Hair shaft color and thickness of C57Bl/6 mice treated for  
15 days with soymilk formulations**

**Control**



**Ess23**

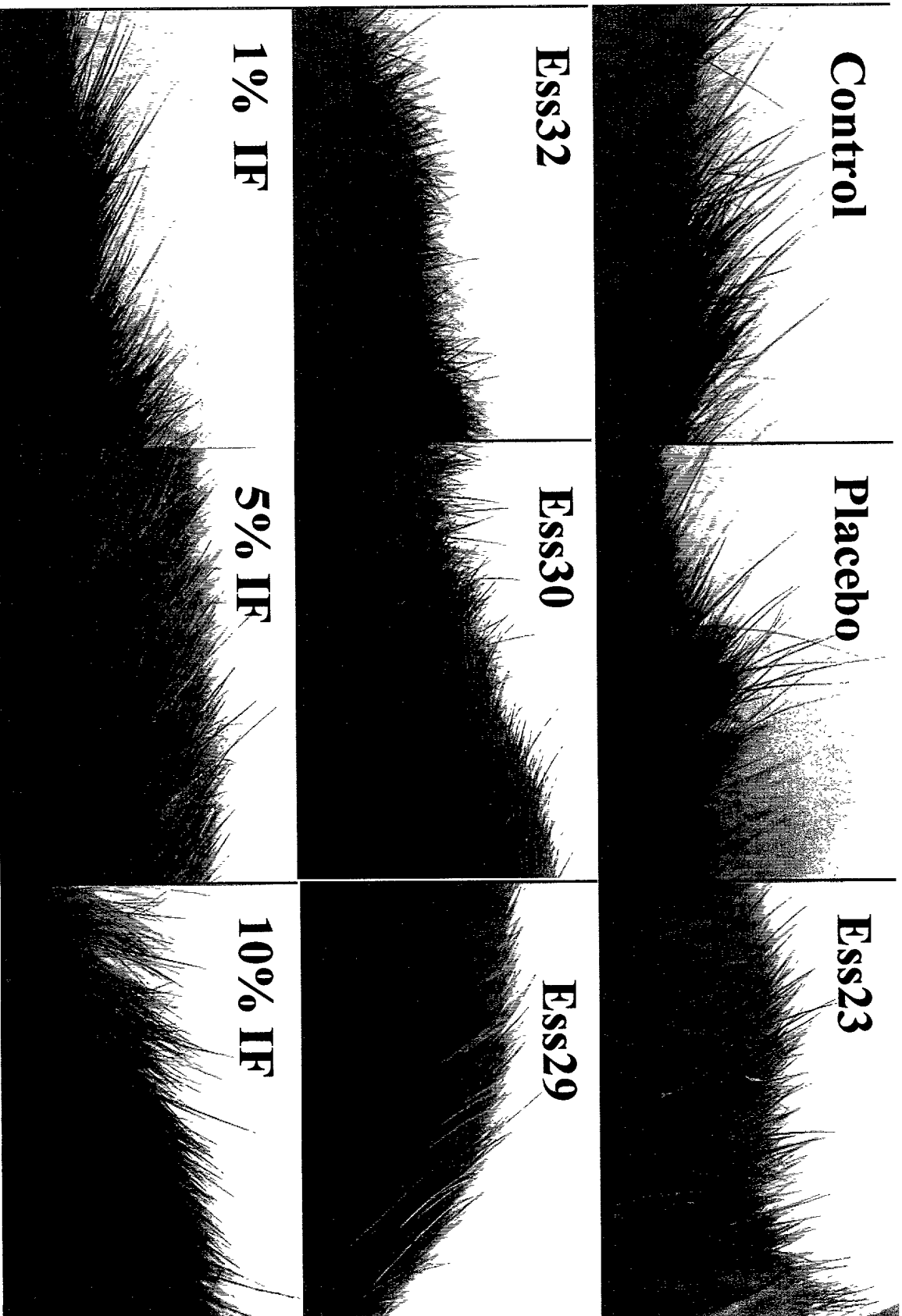


**Ess30**





**Figure 18**  
**C57Bl/6 mouse hair treated for three weeks**  
**with soymilk or isoflavone formulations**



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled REDUCING HAIR GROWTH, HAIR FOLLICLE AND HAIR SHAFT SIZE AND HAIR PIGMENTATION, the specification of which

(check one)  is attached hereto.

was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_.  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

093455-0240

Prior Foreign Application(s):

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

60/145,774  
(Application Number)

7/27/99  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.      Filing Date      Status

Application Serial No.      Filing Date      Status

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith as well as to file equivalent patent applications in countries foreign to the United States including the filing of international patent applications in accordance with the Patent Cooperation Treaty: Audley A. Ciamporcerro, Jr. (Reg. #26,051), Steven P. Berman (Reg. #24,772), Andrea L. Colby (Reg. #30,194) and Michael Stark (Reg. #32,495), One Johnson & Johnson Plaza, New Brunswick, NJ 08933.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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